

JUL 19 2007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Masuo SUZUKI et al.

Group Art Unit: 3723

Application Number: 10/551,457

Examiner: Maurina T. RACHUBA

Filed: September 30, 2005

Confirmation Number: 4414

For: **POLISHING PAD, PRODUCTION METHOD THEREOF, AND POLISHING
METHOD USING THE SAME**

Attorney Docket Number: 053197

Customer Number: 38834

VIA FACSIMILE - 61 pages (including this cover)

Commissioner for Patents

POB 1450, Alexandria, VA 22313-1450

Transmitted to USPTO Central Fax No. 571-273-8300


SUBMISSION OF DOCUMENTS

Sir:

Applicants hereby transmit the attached "Information Disclosure Statement (2 pp), PTO/SB08 (1 pp), WO03/032379 A1 with abstract (34 pp) and Chinese Office Action dated April 20, 2007 (mailing date) and issued in corresponding Chinese Patent Application Number 2004800090869 (7 pp) and its English translation (16 pp) in connection with the above-identified application.

CERTIFICATE OF TRANSMISSION

I hereby certify that the attached Information Disclosure Statement (2p), Form PTO/SB/08 (1p), WO03/032379 A1 (34 pp) and Chinese Office Action dated April 20, 2007 (mailing date) and issued in corresponding Chinese Patent Application Number 2004800090869 (7 pp) and its English translation (16 pp) are being formally transmitted via the USPTO Central Fax No. 571-273-8300 on July 19, 2007.


Lee C. Wright
Reg. No. 41,441

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICEIn re the Application of: **Masuo SUZUKI et al.**Group Art Unit: **3723**Application Number: **10/551,457**Examiner: **Maurina T. RACHUBA**Filed: **September 30, 2005**Confirmation Number: **4414**For: **POLISHING PAD, PRODUCTION METHOD THEREOF, AND POLISHING
METHOD USING THE SAME**Attorney Docket Number: **053197**Customer Number: **38834****INFORMATION DISCLOSURE STATEMENT**
UNDER 37 C.F.R. §1.97(c)(1)/(e)(1)Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

July 19, 2007

Sir:

Applicants direct the attention of the Patent and Trademark Office to the documents listed on the attached Form PTO/SB/08.

This Information Disclosure Statement is being submitted after issuance of a first official action on the merits and expiration of the three month period following the filing date or the entry of the national stage for the above-captioned application, but prior to issuance of either a final official action or a Notice of Allowance. A check is attached hereto which covers the \$180.00 fee set forth in 37 C.F.R. §1.17(p).

A copy of each non - U.S. document is attached.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicants enclose herewith a copy a Chinese Office Action dated April 20, 2007 (mailing date), discussing the listed documents, issued in corresponding Chinese Patent Application Number 2004800090869, together with an English language translation. The

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Information Disclosure Statement under 1.97(c)(1)/(e)(1)
Attorney Docket No. 053197
Application No. 10/551,457


Chinese Office Action also discusses JP2002-166352 and JP2—3-80450 and it is therefore also submitted further in compliance with the concise explanation requirement for the foreign language documents JP2002-166352 and JP2003-80450.

Additionally, US 2004/0224623A1 is a corresponding application to WO03/032379.

If there are any fees due in connection with the filing of this paper, please charge Deposit Account No. 50-2866.

Respectfully submitted,

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP



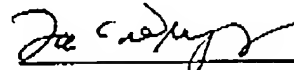
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LCW/af

Enclosures: PTO/SB/08
Foreign Communication
1 reference

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I hereby certify that the attached Information Disclosure Statement (2p), Form PTO/SB/08 (1p), WO03/032379 A1 (34 pp) and Chinese Office Action dated April 20, 2007 (mailing date) and issued in corresponding Chinese Patent Application Number 2004800090869 (7 pp) and its English translation (16 pp) are being formally transmitted via the USPTO Central Fax No.571-273-8300 on July 19, 2007.



Lee C. Wright
Reg. No. 41,441

Combined Form PTO/SB/08A&B <u>INFORMATION DISCLOSURE</u> <u>STATEMENT BY APPLICANT</u> (use as many sheets as necessary)			<i>Complete if Known</i>		
			Application Number	10/551,457	
			Confirmation Number	4414	
			Filing Date	September 30, 2005	
			First Named Inventor	Masuo SUZUKI et al.	
			Art Unit	3723	
Examiner Name	Maurina T. RACHUBA				
Attorney Docket Number	053197				
Sheet	1	of	1		

U.S. PATENT DOCUMENTS					
Examiner Initials ^a	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
	1.	US 6,533,645	B2	03-18-2003	Tolles
	2.	US 2002/0049033	A1	04-25-2002	Newell
	3.	US 2004/0224623	A1	11-11-2004	Nishiyama et al.
		US			
		US			
		US			
		US			
		US			
		US			

FOREIGN PATENT DOCUMENTS							
Examiner Initials ^a	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ² (if known)			
	4.	WO	03/032379	A1	04-17-2003	HITACHI CHEMICAL CO., LTD.	Abstract.

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials ^a	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶	
	5.	Chinese Office Action dated April 20, 2007 (mailing date), issued in corresponding Chinese Patent Application Number 2004800090869.	Full	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to indicate here if English language Translation is attached.